#### RESEARCH ARTICLE

# Brain alterations within the first 100 days of HIV infection

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#### **Abstract**

Objective: Brain involvement is a serious complication of HIV infection. The earliest changes in the brain, which represents an anatomic site for viral persistence, are largely unknown. Methods: This investigation used quantitative Magnetic Resonance methodologies, including high resolution and diffusion tensor (DTI) imaging, to evaluate the brain in 15 HIV and 20 seronegative subjects. All HIV subjects were antibody nonreactive with assay-estimated infection duration of less than 100 days. Results: Brain volumetric analysis revealed reduced parenchyma with enlargement of the third ventricle and brainstem. DTI quantified loss of white matter integrity in the corpus callosum and diffusion alterations in caudate. Cognitive differences were indicated in psychomotor speed and visual recall. There were no differences between antiretroviral-initiated and naïve HIV subgroups. Interpretation: These findings, quantified within 100 days of infection, shed light on the earliest brain changes in HIV infection. Onset of neural injury may date to initial viral invasion and the transient early period of unchecked viremia and marked immunosuppression of the seroconversion period.

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#### Introduction

The initial virus host interaction in HIV infection is characterized by profound immune perturbances that are critical for long-term outcome. The viral setpoint determined in early infection, for example, predicts the time interval until Acquired Immunodeficiency Syndrome (AIDS), which may be diagnosed many years later, and the duration of survival. The initial virus host interaction involves rampant viremia with widespread viral dissemination to tissues. Viral reservoirs first established in this period constitute long-lived sources of persistence and rebound viremia that render viral eradication impossible, resulting in inexorable immune failure and death.<sup>2</sup> Because HIV may remain undiagnosed in the earliest stages, however, this critical period is often lost to characterization. Early changes that occur in the brain, which represents an anatomic site for viral persistence in HIV infection, are largely unknown.

The Chicago Early HIV Infection cohort was established to investigate the status of the brain within ~1 year of viral transmission.<sup>3</sup> Findings from this observational study do not support the widely held assumption that the brain is spared in early infection. Alterations were quantified in brain structure,3 in functional connectivity in the resting state<sup>4</sup> and in cognitive function in a cohort infected on average, 1 year.3 To gain further insight into the onset of earliest changes, this investigation focused on seroconversion, which spans the critical window in which the host first mounts an antibody response. This very early period has not been considered in prior analyses of the Chicago cohort. For this study, additional participants in Acute/Primary infection were enrolled to examine the brain in the initial virus-host interaction. All HIV subjects in this study were antibody nonreactive and assayestimated to be infected from 14 to less than 100 days based on conservative estimates from a recency algorithm.<sup>5</sup> Brain volumetric measurements were derived using high-resolution neuroanatomic imaging. For this study focused on seroconversion, additional brain measurements were derived using diffusion tensor imaging (DTI) to detect changes occurring at microstructural levels and immune mediators were examined to determine relationships with the earliest brain changes. A comprehensive neuropsychological test battery was used to assess cognitive function. Brain measurements were also examined for patterns of relationship to viremia and to immune status in the seroconversion period.

# **Subjects and Methods**

Northwestern University Institutional Review Board approved this investigation, which was conducted in compliance with U.S. federal guidelines. Informed con-

sent was obtained from all subjects. The Chicago Early HIV Infection study is an ongoing, observational investigation. This study included 15 HIV and 20 seronegative participants. A prior study of the larger Chicago cohort (n = 43 HIV; mean duration, 1 vear) included nine of these subjects. For this study focused on seroconversion, additional subjects in acute/primary infection were enrolled (antibody nonreactivity; infected less than 100 days). All infected subjects reported sexual transmission as the method of infection. Seronegative subjects were recruited from similar Chicago urban areas. Study exclusion criteria for the Chicago cohort include chronic neurological disorder, head injury, uncontrolled seizure disorder, experimental drugs or vaccination within past 15 days, radiation or chemotherapy within prior month, mental condition involving inability to understand, chronic or active alcohol abuse, chronic or active drug abuse, pregnancy, opportunistic infection, cancer, medical condition (heart, liver or kidney) or MR contraindication. HIV and seronegative groups did not differ in age, gender, racial composition, educational level or use of alcohol or other drugs, with the exception of marijuana use, which was higher in the HIV group (Table 1). Intravenous drug use was not reported in either group.

Blood samples were collected from HIV and seronegative subjects. Serostatus was determined by enzyme-linked immunosorbent assay and Western blot. Plasma viral load (log<sub>10</sub>) ranged from undetectable to 10,000,000 copies/mL (Table 1). Absolute CD4+ cell counts ranged from 247 to 1282/mm<sup>3</sup>. Seven HIV subjects were antiretroviral naïve and eight had initiated antiretrovirals. Additional criteria for HIV subjects in this study included antibody nonreactivity and an early infection assay of less than 10 (Blood Systems, San Francisco, CA). This assay uses a conservative "nonreactive" criteria of 10 to reduce the false recent rate.<sup>5</sup> An assay value of 10 corresponds to an estimated infection duration of 105 days (95% CI: 73.5-138.2). The mean assay value in this sample was considerably lower (3.86  $\pm$  2.7) with values ranging from 0.13 to 7.65. Therefore, all subjects in this sample were conservatively estimated to be infected less than 100 days. Based on Fiebig staging, these subjects were estimated to be in stages III to V.6

#### Cytokine/chemokine quantification

Multiplex analyses were conducted at Core Immunology Laboratory at Blood Systems Research Institute, San Francisco, CA. Serum samples were assayed using the high-sensitivity MilliPlex kit (Millipore, Billerica, MA, USA) for IL-1 $\beta$ , IL-2, IL-4, IL-5, IL-6, IL-7, IL-8, IL-10, IL-12p70, IL-13, IFN- $\gamma$ , GM-CSF and TNF- $\alpha$ ; and the standard-sensitivity Milliplex Map kit (Millipore) for epidermal growth factor (EGF), Eotaxin, fibroblast growth

Table 1. Demographic and clinical characteristics.

•	HIV	Control	
	(n = 15)	(n = 20)	Ρ
Demographic characteristics			
Age (mean years $\pm$ SD)	$34.9 \pm 11.2$	$31.7 \pm 8.9$	0.36
Gender (% male)	93%	80%	0.25
Race (% White)	47%	75%	0.09
Education (% college)	71%	90%	0.21
Substance use (past month)			
Alcohol (5 or	3	4	0.99
more drinks)			
Marijuana	7	2	0.01
Cocaine	0	0	_
Amphetamines	0	0	_
Glue or solvent sniffing	0	0	_
Heroin	0	0	_
Other	0	0	_
Clinical characteristics			
CD4 cell count (cells/ $\mu$ L)			
Mean $\pm$ SD	$580 \pm 332.7$		
Range	247-1282		
Plasma HIV RNA			
copies/mL (log <sub>10</sub> )			
Mean $\pm$ SD	$3.50 \pm 2.0$		
ART Naïve $(n = 7)$	$3.61 \pm 2.2$		
Initiated on ART $(n = 8)$	$3.40 \pm 1.8$		
Regimen			
Atripla (efavirenz,	5		
emtricitabine, tenofovir)			
Ritonavir, atazanavir,	2		
emtricitabine/tenofovir			
Raltegravir,	1		
emtricitabine/tenofovir			

ART, antiretroviral therapy.

factor (FGF)-2, FLT-3, Fractalkine, IFN-α IL-1α, IL-1Rα, IL-3, IL-9, IL-12(p40), IL-15, IL-17, IP-10, monocyte chemotactic protein (MCP)-1, MCP-3, macrophage-derived chemokine (MDC), macrophage inflammatory protein (MIP)- $1\alpha$ , MIP- $1\beta$ , sIL- $2R\alpha$ , TNF $\beta$ , granulocyte colonystimulating factor (G-CSF), growth-related oncogene (GRO), TGF-α and vascular endothelial growth factor (VEGF). All measured in pg/mL. In brief, serum was incubated overnight with antibody-coupled beads followed by incubation with biotinylated detection antibody, and finally, incubation with streptavidin-PE. Each sample was assayed in duplicate and cytokine standards, and controls, supplied by the manufacturer, were run on each plate. The lower and upper limits of analyte detection were determined by the assay manufacturer. In addition, manufacturer controls and in-house controls consisting of supernatants of PBMCs stimulated with mitogen in culture were also run. Multi-analyte profiling was performed using a Luminex-100 system and data were analyzed using BioPlex 6.1 software (BioPlex, Hercules, CA, USA). Luminex Standard Curve: A 5-PL curve fit was used to graph the 7-point standard curve. The curve for every analyte was checked for the fit of the standard data points. For errors or more than a 30% CV coefficient of variation for any standard, those points were dropped. For Luminex measurements that fell below the lowest standard and were determined to be out of range by the Bioplex Manager software, a value of one-half the lowest standard value was used for the statistical analysis.

## **MR** imaging

Imaging data were acquired on a single MR scanner, a 3 T MAGNETOM Tim Trio (Siemens, Erlangen, Germany) with maximum gradient slew rate, 200 mT/m per second, maximum gradient strength, 40 mT/m, using a 12 channel receive-only head coil. Sagittal whole brain Magnetization Prepared Rapid Acquisition Gradient Echo images were acquired (parameters: TR/TI/TE: 2300/900/2.91 msec; flip angle: 9°; field of view:  $256 \times 256$  mm; slice thickness: 1 mm; resolution:  $1 \times 1$  mm; slices: 176). For DTI, a 2D double refocused spin echo sequence with echo planar readout was used for acquisition (parameters: axial, TR/TE: 9700/90 msec, flip angle: 90°, field of view:  $256 \times 256$  mm, In-plane resolution:  $2 \times 2$  mm, slice thickness: 2 mm, slices: 72, Bandwidth: 1326 Hz/Px, averages: 1, acceleration factor: 2, directions: 64,  $b = [0; 1000] \text{ sec/mm}^2$ ).

## **Image analysis**

All image analysis was conducted blinded to subject status (HIV or control). Image quality control was performed visually by a trained operator to ensure that artifacts were not present in any of the MR modalities. SIENAX (Oxford University, Oxford, England) was used to calculate volumetric measurements for brain parenchyma, ventricular, and tissues classes (gray matter, cortical gray matter, white matter, and cerebrospinal fluid) normalized for differences in head size.<sup>7</sup> SIENAX first extracts a brain and skull image from the subject's structural MPRAGE input image. The skull image is used to determine registration scaling from subject space to standard space (MNI125). This scaling is then utilized in the affine-registration of the brain image to standard space. This process defines the volumetric scaling factor used to normalize the brain volume. To avoid introducing errors from blurring associated with registration, tissue segmentation is performed on the original (nonregistered) MPRAGE images and volumes are then scaled by the scaling factor to derive the normalized measurements. Freesurfer, 8 was used to derive measurements of individual brain regions. To minimize operator variability in manual editing, a semi-automated approach was used. Case by case visual

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inspection was used to assess image quality across scans; skull stripping and segmentation results met quality assurance standards for both cortical and subcortical segmentation. Freesurfer measurements for individual brain regions were divided by the intracranial cavity volume to adjust for differences in head size. DTI parameters, including fractional anisotropy (FA), which is sensitive to white matter integrity, and mean diffusivity (MD), which quantifies water molecular diffusion at levels approximating cellular dimensions, were determined for aggregate 3D volumes of interest. Parametric FA and MD maps were derived using custom software on a Linux workstation. Eddy-current-induced distortions were corrected in the SE-EPI-DTI images by registering all diffusionweighted images to the B<sub>0</sub> image for each slice. DTI parametric maps were coregistered to the anatomical T1 structural image using FLIRT (FMRIB's Linear Registration Tool). For DTI, the b<sub>0</sub> image was used to calculate a transformation matrix between DTI and T1, which was then applied back to the DTI images to align them and segmentation was performed on the structural scan using Freesurfer to mask 3D volumes of interest. FA and MD were then calculated using standard equations for all voxels within 3D volumes of interest. Volumes of interest included regions that have been identified as vulnerable to injury in studies of more advanced HIV infection, including cerebral cortex, cerebral white matter, corpus callosum (CC), caudate, putamen, thalamus, and hippocampus. 10-19

# **Cognitive assessment**

Subjects were evaluated using a neuropsychological test battery that has been used in longitudinal studies of HIV neurological outcome.<sup>20</sup>

#### Statistical analysis

The primary analyses considered continuous variables (volumetric, DTI [FA and MD] and cognitive measures). Distributional assumptions were evaluated using the Shapiro–Wilk test. Group comparisons were accomplished with independent *t*-tests or Wilcoxon signed rank test. Chi-square or Fisher's exact test were used to consider categorical variables (e.g. demographic). For a priori analyses, a significance level of 0.05 was used. Secondary analyses involving HIV subgroups were accomplished with analysis of variance or Kruskal–Wallis, followed by Tukey-adjusted pairwise comparison. Spearman correlation coefficients were used to examine relationships between clinical measures and imaging measurements. Binary logistic regression was used for adjusted analyses. The quantitative early infection assay was used as a

measure of length of infection. Analyses were executed with SAS (Cary, NC).

#### Results

#### **Imaging measurements**

Table 2 presents significant group differences for the imaging measurements. Volumetric analysis quantified reduced brain parenchyma percent (t(33) = -2.21;P = 0.04), expansion of third ventricle (t(32) = 2.14;P = 0.04), and brainstem enlargement (t(33) = 2.79; P = 0.009) in the HIV seroconversion group. Differences for cortical (P = 0.067) and total (P = 0.08) gray matter were nearly significant. Anisotropy measurements (DTI FA) for aggregate 3D CC were reduced in the HIV group (t(33) = -2.54; P = 0.016) with further analysis of individual CC segments, indicating the most prominent differences in anterior (t(33) = -2.95; P = 0.006) and midposterior regions (t(33) = -1.96; P = 0.065) (Fig. 1). Diffusivity (DTI MD) for aggregate caudate volume was also significantly increased (t(33) = 2.22; P = 0.034) compared to controls. There were no differences in the brain imaging measurements between antiretroviral therapy (ART) and naïve HIV subgroups.

### **Cognitive status**

As shown in Table 3, the HIV group had weaker performance on Digit Symbol (t(31) = -4.32; P = 0.001) and Rey Complex Figure Recall (t(26) = -2.02; P = 0.05).

**Table 2.** Quantitative brain differences identified in HIV seroconversion

	HIV $(n = 15)$ Mean $\pm$ SD	Control ( $n = 20$ ) Mean $\pm$ SD	Р	ES
Volumetric meas	sures <sup>1</sup>			
Brain parenchymal percent	0.84 ± 0.03	0.86 ± 0.01	0.04	0.8
Third ventricle	$0.0006\pm0.0001$	$0.0001\pm0.00003$	0.04	0.7
Brainstem	$0.014\pm0.001$	$0.013\pm0.001$	0.009	1.0
DTI measures				
FA CC	$0.67\pm0.04$	$0.69\pm0.02$	0.016	0.9
FA CC anterior	$0.65\pm0.08$	$0.70\pm0.06$	0.006	1.0
FA CC mid-posterior	$0.59 \pm 0.05$	$0.64 \pm 0.04$	0.065	0.7
MD caudate	$0.0009\pm0.0001$	$0.0008\pm0.00007$	0.034	0.8

ES, effect size. Mean difference divided by common standard deviation. DTI, diffusion tensor imaging; FA, fractional anisotropy; CC, corpus callosum; MD, mean diffusivity.

<sup>&</sup>lt;sup>1</sup>Normalized for cranial size.

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There were no differences in cognitive measures between ART and naïve HIV subgroups.

#### **HIV disease status**

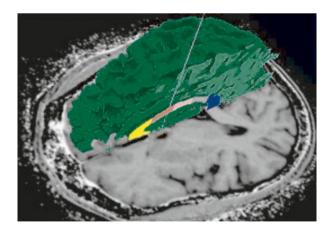
Table 4 presents relationships between significant imaging findings and clinical measures (CD4+ and CD8+ cell counts, plasma HIV RNA). CD4+ depletion, measured concurrently with brain imaging in the seroconversion period, was significantly correlated with FA in CC ( $\rho = 0.68$ ; P = 0.007) and the mid-posterior CC segment ( $\rho = 0.63$ ; P = 0.02) and with MD (diffusivity) in caudate ( $\rho = 0.55$ ; P = 0.4).

## Cytokines/chemokines

Table S1 presents plasma levels of immune mediators in HIV and seronegative controls and Mann–Whitney tests of group differences. Table 5 presents Spearman correlations between the significant imaging measures and plasma levels of immune mediators:

for brain parenchyma: IL-1 $\beta$  (-0.466; P=0.005), sIL-2R $\alpha$  (-0.446 P=0.012), IL-3 (-0.622 P=0.002), IL-6 (-0.483; P=0.003), TNF $\alpha$  (-0.410; P=0.015), IL-1R $\alpha$  (-0.436; P=0.009), IL-10 (-0.371; P=0.028), GRO (-0.57; P=0.017) (P=0.051-0.058: IL-7, IL-8, IFN $\gamma$ , EGF and Flt-3).

for the third ventricle: IL-1 $\alpha$  (0.44; P = 0.009), IL-6 (0.359; P = 0.034), IL-15 (0.396; P = 0.018), TNF $\beta$  (0.575; P < 0.001), GM-CSF (0.463; P = 0.005), MCP-1 (0.384; P = 0.023), eotaxin (0.481; P = 0.003). (P = 0.051: IL-1 $\beta$  and IL-13)



**Figure 1.** Freesurfer segmentation shown for individual segments of the corpus callosum in a participant infected only a few weeks. Volumetric and diffusion tensor imaging (DTI) measurements can be quantified for 3D volumes of interest. Loss of white matter integrity was detected in the corpus callosum in HIV seroconversion.

Table 3. Neuropsychological measures.

	HIV	Control		
	Mean $\pm$ SD	Mean $\pm$ SD	Ρ	ES
Digit symbol	54.08 ± 10.47	68.15 ± 8.18	0.001	-1.54
Rey complex figure recall	23.18 ± 6.98	$27.65 \pm 4.73$	0.053	-0.78
Rey complex figure copy	33.27 ± 2.80	33.88 ± 3.64	0.641	-0.18
Rey auditory delayed recall	9.31 ± 3.25	11.17 ± 2.64	0.090	-0.64
Rey recall after interference	9.38 ± 2.931	10.6 ± 2.46	0.208	-0.46
Rey auditory verbal learning	12.08 ± 2.50	13.0 ± 1.45	0.244	-0.48
Odd man out	$9.69\pm0.63$	$9.95\pm0.22$	0.178	-0.61
Grooved pegboard (dominant)	64.31 ± 8.19	60.53 ± 9.62	0.256	0.42
Grooved pegboard (non- dominant)	68.77 ± 8.95	66.32 ± 9.36	0.464	0.27
Letter-number sequencing	12.31 ± 3.07	13.84 ± 3.34	0.197	-0.47
Verbal fluency	$38.23 \pm 10.54$	$42.25 \pm 10.33$	0.287	-0.39
Trails A	$26.0\pm8.78$	$26.55\pm9.09$	0.865	-0.06
Trails B	$65.62\pm25.47$	$59.70\pm17.68$	0.436	0.28
Timed gait	$8.58 \pm 1.311$	$8.8\pm1.32$	0.656	-0.17
CALCAP choice	$421.82\pm56.83$	427.6 ± 53.60	0.780	-0.11
CALCAP sequential	552.64 ± 90.438	550.85 ± 93.36	0.959	0.02

ES, effect size. Mean difference divided by common standard deviation. CALCAP, California Computerized Assessment Package.

for brainstem: IL-1 $\beta$  (0.357; P = 0.036), IL-4 (0.477; P = 0.004), IL-10 (0.386; P = 0.022). and caudate MD: IL-8 (0.391; P = 0.020), IL-5 (0.332; P = 0.051), IL-10 (0.548; P = 0.001) (P = 0.51: MDC and VEGF).

#### CC FA

No significant correlations between immune mediators and CC FA were identified.

#### **Adjusted analyses**

A binary logistic model was used to consider subject characteristics, including age, education, HIV, race, and gender, as predictors of brain alterations, determined by k-means classification. Based on forward selection, age

**Table 4.** Brain alterations and HIV clinical status in seroconversion.

	CD4+ (cells/μL)	CD8+ (cells/μL)	Plasma HIV RNA (copies/mL)
Volumetric measures			
Brain parenchyma	0.12	0.31	0.05
Brainstem	-0.28	-0.07	0.12
Third ventricle	0.24	-0.02	-0.00
DTI measures			
FA CC	0.68**	0.43	-0.09
FA CC anterior	-0.21	-0.30	-0.31
FA CC mid-posterior	0.63*	0.39	-0.18
MD caudate	0.55*	0.40	0.12

CD, cluster of differentiation; DTI, diffusion tensor imaging; FA, fractional anisotropy; CC, corpus callosum; MD, mean diffusivity. Spearman correlation coefficients. \*P< 0.05; \*\*P< 0.01.

was the only significant variable. This approach was also used to consider clinical (CD4+ cell count, viral load, CD8, length of infection, antiretroviral status) and subject characteristics separately in the HIV group (n=15). Variables associated with brain alterations in the HIV group included age and viral load.

#### Discussion

This imaging investigation quantified brain alterations within the first 100 days of HIV infection. Volumetric analysis revealed reduced total parenchyma, third ventricle expansion, and enlargement of brainstem (Table 2). DTI indicated altered white matter integrity in CC and microstructural alterations in caudate. These findings, detected prior to an antibody response, may be among the earliest brain changes in HIV infection. An autopsy conducted 15 days following an iatrogenic infection found mononuclear cells in meninges and throughout the cerebral cortex, basal ganglia, and cerebellum, 21 mirroring the in vivo findings of this imaging study. Other studies have detected the virus in cerebrospinal fluid (CSF) within 8 days of HIV transmission<sup>22</sup> and during primary infection.<sup>23</sup> Neuroinflammation has been detected by Magnetic Resonance Spectroscopy in Acute HIV.<sup>24</sup> CSF concentrations of neurofilament light chain, a marker of axonal injury, are elevated in primary infection and correlate with imaging measures of neuronal injury examined in white matter (anterior cingulate and frontal) and cortical gray matter.<sup>25</sup> Studies of early HIV infection, defined variously as soon after seroconversion or within the first approximate year, have found neuronal injury in frontal cortex,26 microglial activation in basal ganglia,26 reduced subcortical blood flow,<sup>27</sup> altered functional connectivity<sup>4</sup> and structural changes in gray matter with third ventricular expansion.<sup>3</sup> The findings for seroconversion are consistent with atrophy, ventricular expansion, and injury in CC and basal

**Table 5.** Immune mediators and significant brain imaging measures.

		Third			MD
	BPV	ventricle	Brainstem	FA CC	caudate
Pro-inflamma	atory/T-cell				
IL-1α	-0.27	0.44**	-0.10	0.12	0.08
IL-1 <i>β</i>	-0.47**	0.33	0.36*	0.16	0.24
IL-2	-0.31	0.11	0.26	0.20	0.17
sIL-2Rα	-0.45*	0.12	0.05	-0.23	-0.13
IL-3	-0.62**	0.34	-0.24	0.14	-0.12
IL-6	-0.48**	0.36*	-0.03	-0.13	0.30
IL-7	-0.33	-0.03	0.21	0.21	0.14
IL-8	-0.33	0.01	0.31	-0.22	0.39*
IL-9	-0.11	0.09	-0.08	-0.12	-0.10
IL-12(p40)	-0.15	0.28	-0.06	0.01	-0.15
IL-12(p70)	-0.13	0.14	0.06	0.24	0.25
IL-15	-0.09	0.40*	-0.11	-0.04	0.00
IL-17	-0.29	-0.16	0.07	-0.14	0.31
TNFα	-0.41*	-0.12	0.28	-0.07	0.27
$TNF\beta$	-0.17	0.58**	-0.10	-0.22	0.04
GM-CSF	-0.27	0.46**	0.13	0.05	0.23
Anti-inflamm	atory/Th2				
IL-1Rα	-0.44**	0.19	-0.23	-0.22	0.08
IL-4	-0.27	0.03	0.48**	0.11	0.13
IL-5	-0.21	0.07	0.16	-0.07	0.33*
IL-10	-0.37*	-0.02	0.39*	-0.10	0.55**
IL-13	-0.31	0.33	0.03	0.11	0.20
Chemoattrac	tants				
IP-10	-0.25	0.03	0.21	0.06	0.11
MCP-1	-0.27	0.38*	-0.03	-0.06	0.07
MCP-3	-0.10	0.16	0.12	0.11	-0.08
MDC	-0.16	0.00	0.18	-0.01	0.35*
MIP-1α	-0.07	-0.07	-0.22	-0.03	0.13
MIP-1 $\beta$	-0.18	-0.09	-0.16	-0.02	0.20
Eotaxin	-0.20	0.48**	0.09	0.08	0.00
Fractalkine	-0.09	-0.12	0.11	-0.18	0.16
IFNα	-0.31	0.02	-0.03	-0.03	-0.07
IFNγ	-0.32	0.09	0.30	0.17	0.22
Growth factors					
VEGF	-0.12	-0.22	0.18	-0.15	0.33*
EGF	-0.32	0.14	-0.12	-0.01	0.18
FGF-2	-0.30	-0.01	0.09	-0.05	0.15
Flt-3	-0.48	0.21	-0.26	-0.03	0.32
GCSF	-0.25	0.14	0.06	-0.33	0.26
GRO	-0.57*	0.24	-0.42	-0.12	-0.32
$TGF\alpha$	-0.18	-0.03	-0.37	0.16	0.27

BPV, brain parenchymal volume; FA, fractional anisotropy; CC, corpus callosum; MD, mean diffusivity.

Spearman correlation coefficients. Markers measured in pg/mL. \*P < 0.05; \*\*P < 0.01.

ganglia that have been found with advanced infection. <sup>12,16,28</sup> Taken together, this evidence supports early onset of neural injury in HIV infection.

The pattern of findings may reflect vulnerability of these regions to initial brain viral invasion and neuroinflammation. Initial symptoms of HIV infection often include headache and symptoms of meningitis. The meninges can

harbor virus and may play a role in viral transport.<sup>29</sup> Gray matter abnormalities and third ventricular enlargement have been seen in vivo in Acute HIV meningoencephalitis.30 Viral invasion of the brain may occur as cell-free virus or via infected monocytes that traffic to the brain in immune surveillance and response. 31-33 An intact bloodbrain barrier generally restricts viruses, however, this period is characterized by rampant viral expansion, massive CD4+ destruction, and a cytokine storm.<sup>34</sup> Viral proteins, cytokines, chemokines, and other factors may alter the restrictive properties.<sup>35</sup> Moreover, blood-brain barrier is intrinsically more permeable or lacking in circumventricular organs, highly vascularized areas near ventricular walls, and in choroid plexus, strands of vascular membrane within ventricles. In the lateral ventricle, the choroid plexus is prominent in the atrium, bordered by CC and caudate. The third ventricle, situated below CC and above the brainstem, is partly formed by circumventricular organs (e.g. the subfornical organ) and the roof comprising the choroid plexus. Expansion in this ventricle may reflect changes in the surrounding regions and/or alterations in CSF production and outflow, which may be markedly modified in HIV infection.<sup>36</sup> Choroid plexus is critical in CSF production and functions as a protective filter, removing neurotoxic factors from CSF (blood-CSF barrier) to maintain the delicate extracellular environment of neurons.<sup>37</sup> Importantly, choroid plexi may become calcified with age, often in glomus choroideum, which is bordered by CC and caudate.<sup>37</sup> In adjusted analyses, both older age and the plasma HIV RNA level were associated with brain alterations in seroconversion.

Virus or infected/activated monocytes in meninges, subarachnoid space, and parenchyma activate the Central Nervous System (CNS) immune repertoire. Microglia are critical in orchestrating this response through cytokines, chemokines, and other mediators. While neurons lack the CD4+ receptor and not directly infected, they can be injured or destroyed by exposure to viral proteins or inflammatory factors that induce cellular death pathways or impair neuroprotective mechanisms. Prolonged or unrelenting immune activation may lead to considerable injury. The viral protein, tat, for example, increases intracellular calcium, potentiates excitotoxicity, and induces apoptosis. <sup>39,40</sup> Transient exposure may cause progressive neuropathological changes for days. <sup>41</sup>

Viremia in Acute HIV is associated with rapid, transient elevations in IFN- $\alpha$  and IL-15, increases in IP-10, rapid and sustained increases in TNF- $\alpha$  and MCP-1, slow elevations in IL-6, IL-8, IL-18, and IFN- $\gamma$  and late-peaking increases in IL-10.<sup>34</sup> The relationship to changes occurring in the brain is largely unknown. Immune responses induced in the initial virus host interaction may have a potentially dual role – containing viral replication or

fueling immune activation and viral spread. With respect to brain involvement, findings from this study favor the latter interpretation. Significant relationships (P < 0.01) with circulating immune mediators (Table 5) were identified for brain parenchymal volume (IL-1 $\beta$ , sIL-2R $\alpha$ , IL-3, IL-6, TNF $\alpha$ , IL-1R $\alpha$ , IL-10, and GRO), third ventricle (IL-1 $\alpha$ , TNF $\beta$ , GM-CSF, eotaxin), brainstem (IL-4), and caudate diffusivity (IL-10). Additional relationships (P < 0.05) are presented in Table 5. MCP-1, which has been implicated in HIV-associated brain injury, correlated only with third ventricular expansion (P = 0.02) in the seroconversion period.

In contrast to other brain alterations, no significant relationships with circulating markers were identified for CC. Loss of white matter integrity in this region correlated specifically with CD4+ depletion in seroconversion. Vulnerability of CC in HIV infection, which was largely unrecognized for many years, has since been demonstrated by Positron Emission Tomography, computational neuroanatomy, diffusion tensor, and magnetization transfer imaging 16-18,43 with marked damage confirmed in postmortem analysis. 19 A relationship with concurrent CD4+ has been found in advanced infection. 10,16 The basis of the observed relationship with immune status is unclear. The CC is the largest white matter structure in the brain, with projections to all regions and broad involvement in cognitive function. Early alterations in CC may be relevant to differences in psychomotor speed and visual memory that were identified in seroconversion and to longer term deterioration in cognitive function. Both nadir CD4+ (i.e. the lowest value in the clinical history)<sup>44</sup> and psychomotor slowing 45 have been identified as predictors of HIV neurocognitive outcome. It is important to recognize that CD4+ cell loss in the seroconversion period, as well as the nadir CD4+, if in early infection, may represent indirect measures of the intensity of viremia in the initial viral host interaction. Plasma HIV RNA peaks within ~21 days of initial exposure, reaching staggering, albeit transient levels that may exceed those of advanced infection. This rampant viral expansion is accompanied by massive CD4+ destruction. It is plausible that higher viremia levels in acute HIV may be associated with increased brain viral exposure and neuroinflammation, whereas it is difficult to capture the peak level as viremia declines dramatically with host defense and with treatment, CD4+ recovery requires longer and may be attenuated even in those who achieve viral suppression.46

Brain status measurements did not differ in ART-initiated (n = 8) and naïve (n = 7) subjects, however, the small sample may have precluded detecting differences. In addition, treatment averaged only 38 days. Viral suppression may require up to 3–6 months after initiation

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of ART. Larger, longitudinal studies examining effects of treatment are imperative. Early ART may be neuroprotective. Some evidence suggests that primary infection represents a critical window of opportunity for optimal immune recovery. And Curbing early viremia and preserving immune function may benefit a long-term neurological outcome. Alternatively, there may be potential neurotoxic ART effects and early initiation would translate into greater cumulative exposure. Five of the ART subjects were on efavirenz (through the multi-drug, Atripla). A metabolite of efavirenz is a potent neurotoxin in vitro, evoking calcium flux in neurons and inducing considerable damage to dendritic spines.

The small sample may have precluded identification of more subtle brain alterations in HIV seroconversion and of additional host factors associated with increased risk. It is unlikely that the brain alterations observed in this sample can be accounted for by past history of heavy drug use or co-infection. Chronic/active drug use was an exclusion criterion and this sample was not characterized by history of heavy drug use. None of the subjects were coinfected with hepatitis and opportunistic infection was also an exclusion. Active drug use and coinfection, however, are relatively common in HIV infection. History of methamphetamine, cocaine, alcohol, and other substances, as well as coinfection (e.g. hepatitis, TB, sexually transmitted diseases) and other factors may increase risk of early brain involvement in HIV infection and merit further study in larger samples.

These findings paint a picture of the brain in HIV seroconversion. It is important to recognize that during this period the brain may be subject to factors that are unique to the initial virus host interaction. The seroconversion period is time-limited and differs importantly from the remainder of the infection. An adaptive immune response is not yet fully established in this time frame. While transient, uncontrolled viremia, and immunosuppression during this very early period may have lasting effects on the brain, further studies will be necessary to replicate and extend these results to determine whether the brain alterations detected in seroconversion resolve with host defense or herald an indolent course of cumulative neural injury and cognitive decline.

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## **Conflict of Interest**

None declared.

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# **Supporting Information**

Additional Supporting Information may be found in the online version of this article:

**Table S1.** Plasma levels of immune mediators in HIV seroconversion.